# Statistical Reachability Analysis

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### Q. What is the probability of a thrown $\approx$ ball to the $\square$ square dropped not into the $\bigcirc$ circle?



## $P(\neg in circle) = ?$





What is the probability of a thrown so ball to the square dropped not into the circle?

## Analytic approach $P(\neg \text{in circle})$ Area(Square) – Area(Circle) Area(square) $(2r)^2 - \pi r^2$ $(2r)^2$ $=\frac{4-\pi}{4}\approx 0.2146...$





What is the probability of a thrown so ball to the square dropped not into the circle?

## Statistical approach (e.g., Monte Carlo method)

 $P(\neg \text{in circle})$ # of balls outside the circle # of balls thrown -=0.25





What is the probability of a thrown so ball to the square dropped not into the circle?

## Statistical approach (e.g., Monte Carlo method)

 $P(\neg \text{in circle})$ # of balls outside the circle # of balls thrown  $=\frac{3}{14}\approx 0.2143$ 





What is the probability of a thrown solution ball to the square dropped not into the Circle?

## Statistical approach (e.g., Monte Carlo method)

 $P(\neg \text{in circle})$ # of balls outside the circle # of balls thrown  $=\frac{65}{303}\approx 0.2145$ 



### Analytic approach is precise and useful if we know the exact model. However, ...

$$P(\neg \text{in circle})$$

$$= \frac{Area(\text{Square}) - Area(\text{Circle})}{Area(\text{square})}$$

$$= \frac{(2r)^2 - \pi r^2}{(2r)^2}$$

$$= \frac{4 - \pi}{4} \approx 0.2146...$$

### Spacecraft





Spacecraft **Atmosphere Entry** 







Analytically computing the interaction is nearly impossible!

### Analytic approach is precise and useful *if we know the exact model*. However, ...



# Instead, a simulation-based *statistical approach* works successfully.



### Spacecraft Atmosphere Entry



Solution: Direct Simulation Monte Carlo





## Program analysis



## An analytic approach for program analysis

What is the probability of a failure execution?





- Conventional approach
- Based on the formal semantics of the program
- E.g.,
  - Symbolic execution
  - Model checking / Model counting
  - Static analysis

## An analytic approach for program analysis

### What is the probability of a failure execution?

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	Var phone = door
	Var username - de letterenter - getElementer
	Var password = document.gettlemen
	Var cpassword = document.getElementBela
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189	var firstname=document.getElementBylf('Innm');#Common firstname=documentBylf('Innm');#Common firstname=documentBylf(');#Common firstname=documentBylf(');#
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- an industrial scale *huge code base*
- heterogenous *in-analyzable features*,
   e.g., 3rd party/binary libraries or cross-language
- a nature of *undecidability*,

## A statistical method is useful when



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- even if the whole system is unknown,

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  - a nature of *undecidability*,



## A statistical method is useful when

- one wants an *approximation of the quantity*,
- even if the whole system is unknown,
- getting the samples is convenient.  $\bullet$

## Analysis of the modern software faces

- an industrial scale *huge code base*
- heterogenous *in-analyzable features*, e.g., 3rd party/binary libraries or cross-language
- a nature of *undecidability*,

And,

modern testing framework (eg. fuzzing) gives > 1K executions per sec.



## A statistical method is useful when

- one wants an *approximation of the quantity*, lacksquare
- even if the whole system is unknown,
- getting the samples is convenient.

Then,

• it performs *regardless of the complexity* of the system.

- an industrial scale *huge code base*
- heterogenous *in-analyzable features*, e.g., 3rd party/binary libraries or cross-language
- a nature of *undecidability*,
  - And,
- modern testing framework (eg. fuzzing) gives > 1K executions per sec.



# Quantitative Reachability Analysis (QRA)



A *program state* is a property one is interested in that is either reached or unreached, given the program execution.

*Quantitative Reachability Analysis (QRA)* measures the probability of how likely a certain program state is reached given the workload of the program.

$$Pr(s) = \sum_{e \in E} Pr(e) \cdot \mathbf{1}(s \text{ is reached by } e)$$
$$E: \underline{workload} \text{ or } \underline{execution \ profile}$$

# Quantitative Reachability Analysis (QRA)

### Software Testing



### **Resource** Management



How often the potentially vulnerable method is executed?

### Other SE technology



How often is the resource requested?

Ensemble testing model of fuzzing & symbolic execution



# Existing Method — Analytic Approach



Model Counter

 $Pr(s) = Pr(pc_1) + Pr(pc_2) + \cdots$ 



# Existing Method — Analytic Approach



Model Counter

 $Pr(s) = Pr(pc_1) + Pr(pc_2) + \cdots$ 



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# Existing Method — Analytic Approach



PReach: A Heuristic for Probabilistic Reachability to Identify Hard to Reach Statements, Saha et al., ICSE 2022



# Existing Method — Analytic Approach



Model Counter

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# Existing Method — Analytic Approach

 $#(bc_1) = n_1, Pr(True_{bc_1}) =$  $#(bc_2) = n_2, Pr(True_{bc_2}) =$ 

### Model Counter

## Pr(s) = Derived by solving DTMC model



## Analytic Approach

What is the probability of a thrown 📚 ball to the 📕 square dropped not into the 🦳 circle? *Q*.



### Quantitative Reachability Analysis? **Q**.

$$sym_1, sym_2, \cdots$$

$$pc_1 = c_{1,1} \land c_{1,2} \land \cdots$$

$$pc_2 = c_{2,1} \land c_{2,2} \land \cdots$$
Symbolic Execution Model Counting



## Analytic Approach

What is the probability of a thrown so ball to the square dropped not into the circle? *Q*.



### Quantitative Reachability Analysis? **()**.

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Symbolic Execution Model Counting

## Statistical Approach



### Monte Carlo method

 $P(\neg \text{in circle})$ # of balls outside the circle # of balls thrown  $=\frac{65}{303}\approx 0.2145$ 



## Statistical Reachability Analysis (SRA)



 $X_s :=$  the number of  $\circ$  in *n* samples  $\hat{\Pr}(s) = \frac{X_s}{n} \xrightarrow{n \to \infty} \Pr(s)$ 

Empirical Probability

If the state s is rarely observable, i.e.,  $Pr(s) \approx 0$ ,



If it is unobserved, the empirical probability underapproximates to zero probability.

Problem of unseen events / Sunrise problem

## **Challenge of SRA: "Rare Program States"**

$$X_s = 0 = 0$$

# **Existing Estimators for Sunrise Problem**

- 1. Laplace estimator
  - + $\alpha$  count for every cases

	Case 1	Case 2	Case 3	Total
Count	7	3	0	10
Count + a	7+α	3+α	0+α	10 + 3α
Laplace	(7+a) / (10+3a)	(3+a) / (10+3a)	α / (10 + 3α)	1

- 2. Good-Turing estimator
  - The probability of seeing an unseen event in the next sample is close to the probability of seeing a singleton event

$$Pr(next is unseen) = \frac{f_1}{n}$$

- For SRA -(state *s*)

$$Lap(s) = \frac{c_s + \alpha}{n + 2\alpha}$$

*Two cases for the state: either <u>reached</u> or <u>unreached</u>* 

$$GoTu(s) = \begin{cases} c_s/n, & \text{if } c_s > 0, \\ f_1/n, & \text{otherwise,} \end{cases}$$

If it's seen, empirical probability, otherwise, <u>Good-Turing</u>



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$$Pr(next is unseen) = \frac{f_1}{n}$$

## **Blackbox estimators**

$$Lap(s) = \frac{c_s + \alpha}{n + 2\alpha}$$

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If it's seen, empirical probability, otherwise, <u>Good-Turing</u>





 $Pr(s_1) \ge Pr(s_2)$ ; However  $Lap(s_1, O) = Lap(s_2, O)$  and  $GoTu(s_1, O) = GoTu(s_2, O)$ 

Black-box estimators are entirely unaware of the structural feature of the program.

## One-step further

Blackbox estimators are awesome, but...



 $Pr(s_1) \ge Pr(s_2)$ ; However  $s_2$  has larger chances of being reached than  $s_4$  $Lap(s_1, O) = Lap(s_2, O)$  and  $GoTu(s_1, O) = GoTu(s_2, O)$ 

Black-box estimators are entirely unaware of the structural feature of the program.





 $Lap(s_1, O) = Lap(s_2, O)$  and  $GoTu(s_1, O) = GoTu(s_2, O)$ 

Black-box estimators are entirely unaware of the structural feature of the program.

 $s_2$  has larger chances of being reached than  $s_4$ 





 $Lap(s_1, O) = Lap(s_2, O)$  and  $GoTu(s_1, O) = GoTu(s_2, O)$ 

Black-box estimators are entirely unaware of the structural feature of the program.







_	
χ	
$\pm 2\alpha$	



$\frac{\alpha}{+2\alpha}$	
-	
0.0006.	
$2 \times \alpha$ 0.0020	



$\frac{\alpha}{+2\alpha}$	
-	
0.0006.	
$2 \times \alpha$ 0.0020	

























## Evaluation

## RQ 1. Statistical method vs. Analytic method for QRA

RQ 2. Blackbox estimator vs. Structure-aware estimator

## **Evaluation 1: Statistical vs Analytic**

- Analytic method: PSE, PReach (SOTA)
- Subjects: Programs used in PReach
  - Target statement: Assertion
- Metric: Accuracy / Estimation time
  - For SRA, 'estimation time' is the time taken until • the estimate gets close enough.

jpf-regress. (26)	ExMIT-T, Exe1-F, Exe2-F, Exe4-F, Exe6-F, Exe8-
	F, Exe10-F, Exe10-T, Exe12-F, Exe12-T, Exe13-T,
	Exe14-T, Exe15-T, Exe18-F, Exe19-T, Exe20-F, Exe20-
	T, Exe26-F, Exe27-F, FNEG-T, LCMP-T, Simple-F,
	Simple-T, Suzette-F, Suzette-T, Assign-T
jbmc-regress. (4)	assert3, if_icmp1, switch1, Token2
algorithms (2)	InsertSort2, RBTree1

## **Evaluation 1: Statistical vs Analytic**

Program	GT	Esti(PSE)	T(PSE)	Esti(PR)	T(PR)	Esti(Lap)	T(Lap)	Suc
<b>ExMIT-T</b>	~0	4.7E-10 (O)	.866s	7.6E-06 (O)	14.9s	1.0E-06 (O)	0.044s	
Exe1-F	0.49	NL (X)	-	0.500 (O)	13.5s	0.489 (O)	0.006s	esti
Exe2-F	0.2	NL (X)	-	0.125 (X)	14.6s	0.199 (O)	<b>0</b> .003s	
Exe4-F	0.25	NL (X)	-	0.125 (X)	14.7s	0.248 (O)	0.014s	
Exe6-F	1.0	NL (X)	-	2.3E-10 (X)	14.8s	0.990 (O)	0.001s	
Exe8-F	0.3	NL (X)	-	0.500 (X)	14.7s	0.300 (O)	0.005s	
Exe10-F	0.25	NL (X)	-	0.250 (O)	14.5s	0.250 (O)	0.005s	
Exe10-T	~0	NL (X)	-	1.2E-10 (O)	14.5s	1.0E-06 (O)	0.085s	
Exe12-F	0.5	0.500 (O)	.934s	0.500 (O)	14.6s	0.501 (O)	0.004s	
Exe12-T	0.375	0.250 (X)	.966s	0.375 (O)	14.6s	0.376 (O)	0.007s	
Exe13-T	~0	0 (O)	.909s	5.0E-11 (O)	13.7s	1.0E-06 (O)	0.087s	F
Exe14-T	0.25	0.5 (X)	.860s	0.25 (O)	11.9s	0.251 (O)	0.018s	
Exe15-T	0.25	0.125 (X)	.910s	0.25 (O)	13.1s	0.251 (O)	0.011s	
Exe18-F	0.5	NL (X)	-	0.500 (O)	14.5s	0.502 (O)	0.011s	
Exe19-T	0.25	0.375 (X)	.950s	0.245 (O)	14.5s	0.251 (O)	0.015s	
Exe20-F	0.25	NL (X)	-	0.125 (X)	13.6s	0.249 (O)	0.008s	
Exe20-T	0.5	0.500 (O)	.903s	0.5 (O)	14.5s	0.500 (O)	0.008s	
Exe26-F	0.5	NL (X)	-	0.245 (X)	14.7s	0.500 (O)	0.006s	
Exe27-F	0.5	0.500 (O)	.849s	0.500 (O)	14.7s	0.500 (O)	0.004s	
FNEG-T	0	0 (O)	.850s	0.25 (X)	14.5s	1.0E-06 (O)	0.045s	
LCMP-T	0	0 (O)	.832s	0.5 (X)	14.9s	1.0E-06 (O)	0.044s	
Simple-F	0	0 (O)	.854s	TO (X)	-	1.0E-06 (O)	0.048s	
Simple-T	0	0 (O)	.844s	TO (X)	-	1.0E-06 (O)	0.047s	
Suzette-F	0.25	0.250 (O)	.910s	4.7E-10 (X)	13.8s	0.249 (O)	0.030s	
Suzette-T	~0	2.6E-9 (O)	.926s	2.6E-09 (O)	14.4s	1.0E-06 (O)	0.084s	
Assign-T	0	0 (O)	.841s	0.25 (X)	14.6s	1.0E-06 (O)	0.045s	
InsertSort2	2.1E-02	TO (X)	-	2.5E-11 (X)	15.8s	2.1E-02 (O)	4,904s	
RBTree1	0.125	TO (X)	-	DTMC (X)	14.4s	0.124 (O)	0.002s	
assert3	~0	4.7E-10 (O)	.847s	2.3E-10 (O)	10.6s	1.0E-06 (O)	0.044s	
if_icmp1	0	0 (O)	.856s	5.0E-11 (O)	10.5s	1.0E-06 (O)	0.045s	
switch1	~0	2.8-09 (O)	1.03s	0.0 (O)	11.9s	1.0E-06 (O)	0.044s	
Token2	4.8E-04	NL (X)	-	TO (X)	-	5.2E-04 (O)	0.545s	

ccessful imation

## [Accuracy]

PSE: 15 / 32 PReach: 17 / 32 <u>SRA: 32 / 32</u>

## [Time]

PSE: < 1s PReach: < 1m <u>SRA: ~ 0.01s</u>





## **Evaluation 2 : Structure-aware Estimator**

- Aim: Is the structural information useful to unreached state?
- Subjects: 5 subjects from Siemens suite + source C libraries
  - Run greybox fuzzing to choose the target *ha* statement
- Evaluation setting:



### • Aim: Is the structural information useful to better estimate the reaching probability of the

	Program	NCLOC	# Func	# BB	GT
+ 5 Open-	tcas	146	9	63	5.37E-04
5 ° P • • •	schedule2	332	17	138	3.99E-04
	totinfo	349	7	132	9.2E-04
	printtokens2	438	19	198	7.82E-03
rd-to-reach	replace	534	21	228	2.73E-04
	gif2png*	988	27	700	2.95E-04
	jsoncpp	7,251	1,328	5,938	2.28E-03
	jasper*	17,385	720	14,417	2.48E-04
	readelf	22,347	477	18,578	1.99E-07
	freetype2	44,686	1,635	27,521	8.25E-08



Expected number of samples needed to reach

## **Evaluation 2: Structure-aware**





### • The *structure-aware estimator* performed significantly better than the blackbox estimators.

### log-bias

Sample size	Laplace	Good-Turing	Struct
10 %	1.28	2.41	0.91
0.01%	3.00	4.67	1.77













































### **Evaluation 2: Structure-aware**

• The structure-aware estimator performed significantly better than the blackbox estimators.





**Dr. Seongmin Lee** 

https://nimgnoeseel.github.io/





### **Dr. Marcel Böhme MPI-SP Software Security**

https://mpi-softsec.github.io/



